

## Inductive Learning (1/2)

### Decision Tree Method

(If it's not simple,  
it's not worth learning it)

R&N: Chap. 18, Sect. 18.1-3

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## Motivation

- An AI agent operating in a complex world requires an awful lot of knowledge: state representations, state axioms, constraints, action descriptions, heuristics, probabilities, ...
- More and more, AI agents are designed to acquire knowledge through learning

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## What is Learning?

- Mostly generalization from experience:

"Our experience of the world is specific,  
yet we are able to formulate general  
theories that account for the past and  
predict the future"

M.R. Genesereth and N.J. Nilsson,  
in *Logical Foundations of AI*, 1987

- → Concepts, heuristics, policies
- Supervised vs. un-supervised learning

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## Contents

- Introduction to inductive learning
- Logic-based inductive learning:
  - Decision-tree induction
- Function-based inductive learning
  - Neural nets

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## Logic-Based Inductive Learning

- **Background** knowledge KB
- Training set D (**observed** knowledge) that is not logically implied by KB
- **Inductive inference**:  
Find  $h$  such that KB and  $h$  imply D

$h = D$  is a trivial, but  
un-interesting solution  
(data caching)

## Rewarded Card Example

- Deck of cards, with each card designated by  $[r,s]$ , its rank and suit, and some cards "rewarded"
- **Background knowledge KB**:  
 $((r=1) \vee \dots \vee (r=10)) \Leftrightarrow \text{NUM}(r)$   
 $((r=J) \vee (r=Q) \vee (r=K)) \Leftrightarrow \text{FACE}(r)$   
 $((s=S) \vee (s=C)) \Leftrightarrow \text{BLACK}(s)$   
 $((s=B) \vee (s=H)) \Leftrightarrow \text{RED}(s)$
- **Training set D**:  
 $\text{REWARD}([4,C]) \wedge \text{REWARD}([7,C]) \wedge \text{REWARD}([2,S]) \wedge$   
 $\neg \text{REWARD}([5,H]) \wedge \neg \text{REWARD}([J,S])$

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 $\neg \text{REWARD}([5,H]) \wedge \neg \text{REWARD}([J,S])$
- Possible inductive hypothesis:**  
 $h \equiv (\text{NUM}(r) \wedge \text{BLACK}(s) \Leftrightarrow \text{REWARD}([r,s]))$

There are several possible inductive hypotheses

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## Learning a Predicate (Concept Classifier)

- Set E of objects (e.g., cards)
- Goal predicate  $\text{CONCEPT}(x)$ , where x is an object in E, that takes the value True or False (e.g., REWARD)

**Example:**

$\text{CONCEPT}$  describes the precondition of an action, e.g.,  $\text{Unstack}(C,A)$

- E is the set of states

$\text{CONCEPT}(x) \Leftrightarrow$

$\text{HANDEEMPTY}(x), \text{BLOCK}(C) \in x, \text{BLOCK}(A) \in x,$

$\text{CLEAR}(C) \in x, \text{ON}(C,A) \in x$

Learning  $\text{CONCEPT}$  is a step toward learning an action description

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## Learning a Predicate (Concept Classifier)

- Set E of objects (e.g., cards)
- Goal predicate  $\text{CONCEPT}(x)$ , where x is an object in E, that takes the value True or False (e.g., REWARD)
- Observable predicates**  $A(x), B(x), \dots$  (e.g., NUM, RED)
- Training set:** values of  $\text{CONCEPT}$  for some combinations of values of the observable predicates

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## Example of Training Set

Day	Outlook	Temperature	Humidity	Wind	PlayTennis
D1	Sunny	Hot	High	Weak	No
D2	Sunny	Hot	High	Strong	No
D3	Overcast	Hot	High	Weak	Yes
D4	Rain	Mild	High	Weak	Yes
D5	Rain	Cool	Normal	Weak	Yes
D6	Rain	Cool	Normal	Strong	No
D7	Overcast	Cool	Normal	Strong	Yes
D8	Sunny	Mild	High	Weak	No
D9	Sunny	Cool	Normal	Weak	Yes
D10	Rain	Mild	Normal	Weak	Yes
D11	Sunny	Mild	Normal	Strong	Yes
D12	Overcast	Mild	High	Strong	Yes
D13	Overcast	Hot	Normal	Weak	Yes
D14	Rain	Mild	High	Strong	No

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## Example of Training Set

Day	Outlook	Temperature	Humidity	Wind	PlayTennis
D1	Sunny	Hot	High	Weak	No
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D10	Rain	Mild	Normal	Weak	Yes
D11	Sunny	Mild	Normal	Strong	Yes
D12	Overcast	Mild	High	Strong	Yes
D13	Overcast	Hot	Normal	Weak	Yes
D14	Rain	Mild	High	Strong	No

Goal predicate is PLAY-TENNIS

Note that the training set does not say whether an observable predicate is pertinent or not

## Learning a Predicate (Concept Classifier)

- Set E of objects (e.g., cards)
- Goal predicate  $\text{CONCEPT}(x)$ , where x is an object in E, that takes the value True or False (e.g., REWARD)
- Observable predicates  $A(x), B(x), \dots$  (e.g., NUM, RED)
- Training set: values of  $\text{CONCEPT}$  for some combinations of values of the observable predicates

- Find a representation of  $\text{CONCEPT}$  in the form:

$\text{CONCEPT}(x) \Leftrightarrow S(A,B, \dots)$

where  $S(A,B, \dots)$  is a sentence built with the observable predicates, e.g.:

$\text{CONCEPT}(x) \Leftrightarrow A(x) \wedge (\neg B(x) \vee C(x))$

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## Learning an Arch Classifier

- These objects are arches: (positive examples)



- These aren't: (negative examples)



$$\text{ARCH}(x) \Leftrightarrow \text{HAS-PART}(x,b1) \wedge \text{HAS-PART}(x,b2) \wedge \text{HAS-PART}(x,b3) \wedge \text{IS-A}(b1,\text{BRICK}) \wedge \text{IS-A}(b2,\text{BRICK}) \wedge \neg \text{MEET}(b1,b2) \wedge (\text{IS-A}(b3,\text{BRICK}) \vee \text{IS-A}(b3,\text{WEDGE})) \wedge \text{SUPPORTED}(b3,b1) \wedge \text{SUPPORTED}(b3,b2)$$

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## Example set

- An **example** consists of the values of CONCEPT and the observable predicates for some object x
- An example is **positive** if CONCEPT is True, else it is **negative**
- The set X of all examples is the **example set**
- The **training set** is a **subset** of X

a small one!

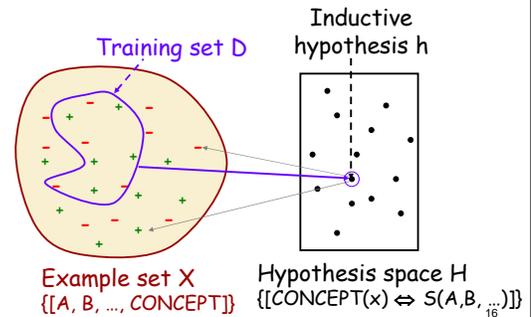
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## Hypothesis Space

- An **hypothesis** is any sentence of the form:  $\text{CONCEPT}(x) \Leftrightarrow S(A,B, \dots)$  where  $S(A,B, \dots)$  is a sentence built using the observable predicates
- The set of all hypotheses is called the **hypothesis space H**
- An hypothesis **h** **agrees** with an example if it gives the correct value of CONCEPT

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## Inductive Learning Scheme



## Size of Hypothesis Space

- n observable predicates
- $2^n$  entries in truth table defining CONCEPT and each entry can be filled with True or False
- In the absence of any restriction (bias), there are  $2^{2^n}$  hypotheses to choose from
- $n = 6 \rightarrow 2 \times 10^{19}$  hypotheses!

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## Multiple Inductive Hypotheses

- Deck of cards, with each card designated by  $[r,s]$ , its rank and suit, and some cards "rewarded"
- Background knowledge KB:**
  - $((r=1) \vee \dots \vee (r=10)) \Leftrightarrow \text{NUM}(r)$
  - $((r=J) \vee (r=Q) \vee (r=K)) \Leftrightarrow \text{FACE}(r)$
  - $((s=S) \vee (s=C)) \Leftrightarrow \text{BLACK}(s)$
  - $((s=D) \vee (s=H)) \Leftrightarrow \text{RED}(s)$
- Training set D:**
  - $\text{REWARD}([4,C]) \wedge \text{REWARD}([7,C]) \wedge \text{REWARD}([2,S]) \wedge \neg \text{REWARD}([5,H]) \wedge \neg \text{REWARD}([J,S])$

$h_1 \equiv \text{NUM}(r) \wedge \text{BLACK}(s) \Leftrightarrow \text{REWARD}([r,s])$

$h_2 \equiv \text{BLACK}(s) \wedge \neg(r=J) \Leftrightarrow \text{REWARD}([r,s])$

$h_3 \equiv (([r,s]=[4,C]) \vee ([r,s]=[7,C]) \vee ([r,s]=[2,S]) \Leftrightarrow \text{REWARD}([r,s])$

$h_4 \equiv \neg([r,s]=[5,H]) \vee \neg([r,s]=[J,S]) \Leftrightarrow \text{REWARD}([r,s])$   
agree with all the examples in the training set

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## Multiple Inductive Hypotheses

- Deck of cards, with each card designated by  $[r,s]$ , its rank and suit, and some cards "rewarded"

Need for a system of preferences - called a bias - to compare possible hypotheses

- $((s=B) \vee (s=H)) \Leftrightarrow \text{RED}(s)$
- Training set D:  
 $\text{REWARD}([4,C]) \wedge \text{REWARD}([7,C]) \wedge \text{REWARD}([2,S]) \wedge$   
 $\neg \text{REWARD}([5,H]) \wedge \neg \text{REWARD}([J,S])$

$h_1 \equiv \text{NUM}(r) \wedge \text{BLACK}(s) \Leftrightarrow \text{REWARD}([r,s])$   
 $h_2 \equiv \text{BLACK}(s) \wedge \neg(r=J) \Leftrightarrow \text{REWARD}([r,s])$   
 $h_3 \equiv ([r,s]=[4,C]) \vee ([r,s]=[7,C]) \vee [r,s]=[2,S]$   
 $\Leftrightarrow \text{REWARD}([r,s])$   
 $h_4 \equiv \neg([r,s]=[5,H]) \vee \neg([r,s]=[J,S]) \Leftrightarrow \text{REWARD}([r,s])$   
 agree with all the examples in the training set

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## Notion of Capacity

- It refers to the ability of a machine to learn any training set without error
- A machine with too much capacity is like a botanist with photographic memory who, when presented with a new tree, concludes that it is not a tree because it has a different number of leaves from anything he has seen before
- A machine with too little capacity is like the botanist's lazy brother, who declares that if it's green, it's a tree
- Good generalization can only be achieved when the right balance is struck between the accuracy attained on the training set and the capacity of the machine

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## → Keep-It-Simple (KIS) Bias

### Examples

- Use much fewer observable predicates than the training set
- Constrain the learnt predicate, e.g., to use only "high-level" observable predicates such as NUM, FACE, BLACK, and RED and/or to have simple syntax

### Motivation

- If an hypothesis is too complex it is not worth learning it (data caching does the job as well)
- There are much fewer simple hypotheses than complex ones, hence the hypothesis space is smaller<sub>21</sub>

## → Keep-It-Simple (KIS) Bias

### Examples

- Use much fewer observable predicates than the training set
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### Motivation

- Einstein: "A theory must be as simple as possible, but not simpler than this"
- If an hypothesis is too complex it is not worth learning it (data caching does the job as well)
- There are much fewer simple hypotheses than complex ones, hence the hypothesis space is smaller<sub>22</sub>

## → Keep-It-Simple (KIS) Bias

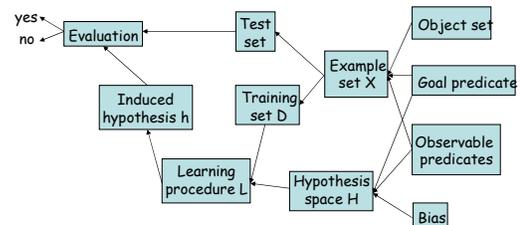
### Examples

If the bias allows only sentences  $S$  that are conjunctions of  $k \ll n$  predicates picked from the  $n$  observable predicates, then the size of  $H$  is  $O(n^k)$

### Motivation

- If an hypothesis is too complex it is not worth learning it (data caching does the job as well)
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## Putting Things Together



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## Decision Tree Method

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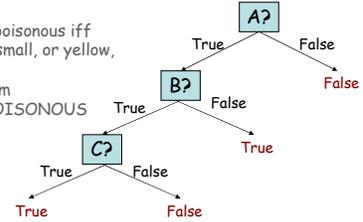
## Predicate as a Decision Tree

The predicate  $CONCEPT(x) \Leftrightarrow A(x) \wedge (\neg B(x) \vee C(x))$  can be represented by the following decision tree:

Example:

A mushroom is poisonous iff it is yellow and small, or yellow, big and spotted

- x is a mushroom
- CONCEPT = POISONOUS
- A = YELLOW
- B = BIG
- C = SPOTTED



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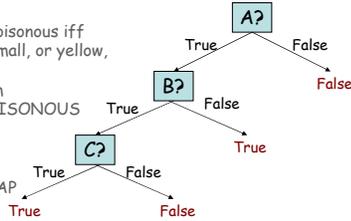
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Example:

A mushroom is poisonous iff it is yellow and small, or yellow, big and spotted

- x is a mushroom
- CONCEPT = POISONOUS
- A = YELLOW
- B = BIG
- C = SPOTTED
- D = FUNNEL-CAP
- E = BULKY



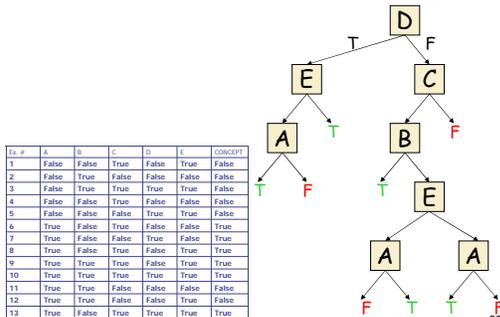
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## Training Set

Ex. #	A	B	C	D	E	CONCEPT
1	False	False	True	False	True	False
2	False	True	False	False	False	False
3	False	True	True	True	True	False
4	False	False	True	False	False	False
5	False	False	False	True	True	False
6	True	False	True	False	False	True
7	True	False	False	True	False	True
8	True	False	True	False	True	True
9	True	True	True	False	True	True
10	True	True	True	True	True	True
11	True	True	False	False	False	False
12	True	True	False	False	True	False
13	True	False	True	True	True	True

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## Possible Decision Tree

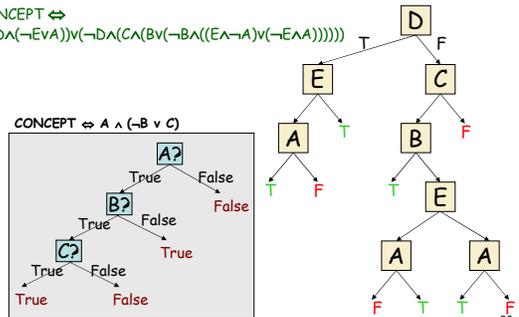


Ex. #	A	B	C	D	E	CONCEPT
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6	True	False	True	False	False	True
7	True	False	False	True	False	True
8	True	False	True	False	True	True
9	True	True	True	False	True	True
10	True	True	True	True	True	True
11	True	True	False	False	False	False
12	True	True	False	False	True	False
13	True	False	True	True	True	True

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## Possible Decision Tree

$CONCEPT \Leftrightarrow (D \wedge (\neg E \vee A)) \vee (\neg D \wedge (C \wedge (B \vee (\neg B \wedge (E \wedge \neg A) \vee (\neg E \wedge A))))$



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### Possible Decision Tree

CONCEPT  $\Leftrightarrow (D \wedge (\neg E \vee A)) \vee (\neg D \wedge (C \wedge (B \vee (\neg B \wedge ((E \wedge \neg A) \vee (\neg E \wedge A)))))$

CONCEPT  $\Leftrightarrow A \wedge (\neg B \vee C)$

**KIS bias  $\rightarrow$  Build smallest decision tree**

Computationally intractable problem  $\rightarrow$  greedy algorithm

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### Getting Started: Top-Down Induction of Decision Tree

The distribution of training set is:

True: 6, 7, 8, 9, 10, 13  
False: 1, 2, 3, 4, 5, 11, 12

Ex #	A	B	C	D	E	CONCEPT
1	False	False	True	False	True	False
2	False	True	False	False	False	False
3	False	True	True	True	True	False
4	False	False	True	True	False	False
5	False	False	False	True	True	False
6	True	False	True	False	False	True
7	True	False	False	True	False	True
8	True	False	True	False	True	True
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### Getting Started: Top-Down Induction of Decision Tree

The distribution of training set is:

True: 6, 7, 8, 9, 10, 13  
False: 1, 2, 3, 4, 5, 11, 12

Without testing any observable predicate, we could report that CONCEPT is False (majority rule) with an estimated probability of error  $P(E) = 6/13$

Assuming that we will only include one observable predicate in the decision tree, which predicate should we test to minimize the probability of error (i.e., the # of misclassified examples in the training set)?  $\rightarrow$  Greedy algorithm

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### Assume It's A

True: 6, 7, 8, 9, 10, 13  
False: 11, 12

1, 2, 3, 4, 5

If we test only A, we will report that CONCEPT is True if A is True (majority rule) and False otherwise

$\rightarrow$  The number of misclassified examples from the training set is 2

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### Assume It's B

True: 9, 10  
False: 2, 3, 11, 12

6, 7, 8, 13  
1, 4, 5

If we test only B, we will report that CONCEPT is False if B is True and True otherwise

$\rightarrow$  The number of misclassified examples from the training set is 5

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### Assume It's C

True: 6, 8, 9, 10, 13  
False: 1, 3, 4

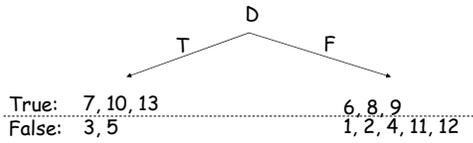
7  
1, 5, 11, 12

If we test only C, we will report that CONCEPT is True if C is True and False otherwise

$\rightarrow$  The number of misclassified examples from the training set is 4

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### Assume It's D

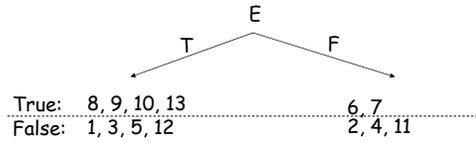


If we test only D, we will report that CONCEPT is True if D is True and False otherwise

→ The number of misclassified examples from the training set is 5

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### Assume It's E

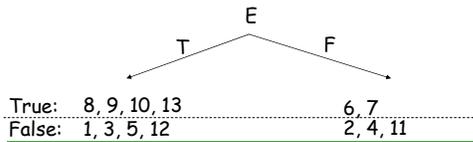


If we test only E we will report that CONCEPT is False, independent of the outcome

→ The number of misclassified examples from the training set is 6

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### Assume It's E

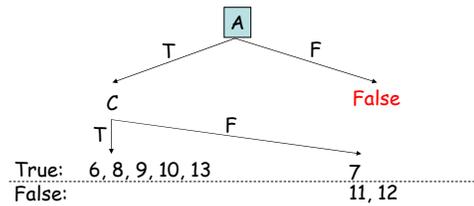


So, the best predicate to test is A, independent of the outcome

→ The number of misclassified examples from the training set is 6

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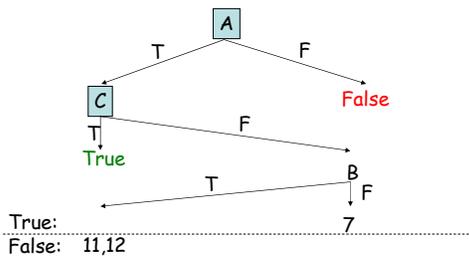
### Choice of Second Predicate



→ The number of misclassified examples from the training set is 1

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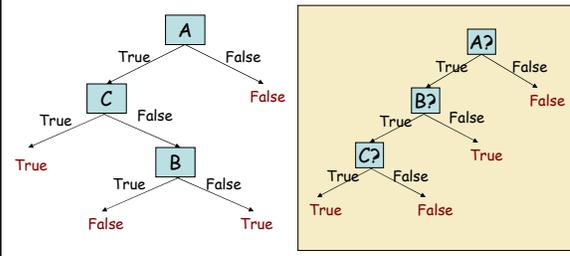
### Choice of Third Predicate



True: 11, 12  
False: 7

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### Final Tree

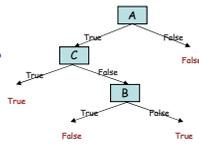


CONCEPT  $\Leftrightarrow A \wedge (C \vee \neg B)$

CONCEPT  $\Leftrightarrow A \wedge (\neg B \vee C)$

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## Top-Down Induction of a DT

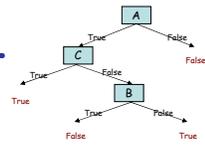


$DTL(\Delta, Predicates)$

1. If all examples in  $\Delta$  are positive then return True
2. If all examples in  $\Delta$  are negative then return False
3. If  $Predicates$  is empty then return *failure*
4.  $A \leftarrow$  error-minimizing predicate in  $Predicates$
5. Return the tree whose:
  - root is A,
  - left branch is  $DTL(\Delta^+ \setminus A, Predicates - A)$ ,
  - right branch is  $DTL(\Delta^- \setminus A, Predicates - A)$

Subset of examples that satisfy A <sup>43</sup>

## Top-Down Induction of a DT



$DTL(\Delta, Predicates)$

1. If all examples in  $\Delta$  are positive then return True
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5. Return the tree whose:
  - root is A,
  - left branch is  $DTL(\Delta^+ \setminus A, Predicates - A)$ ,
  - right branch is  $DTL(\Delta^- \setminus A, Predicates - A)$

Noise in training set!  
May return majority rule,  
instead of failure

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## Comments

- Widely used algorithm
- Greedy
- Robust to noise (incorrect examples)
- Not incremental

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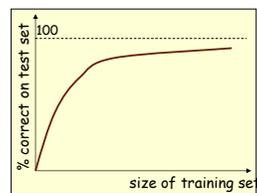
## Using Information Theory

- Rather than minimizing the probability of error, many existing learning procedures minimize the expected number of questions needed to decide if an object  $x$  satisfies CONCEPT
- This minimization is based on a measure of the "quantity of information" contained in the truth value of an observable predicate
- See R&N p. 659-660

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## Miscellaneous Issues

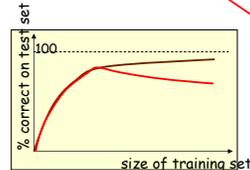
- Assessing performance:
  - Training set and test set
  - Learning curve



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## Miscellaneous Issues

- Assessing performance:
  - Training set and test set
  - Learning curve
- Overfitting



Risk of using irrelevant observable predicates to generate a hypothesis that agrees with all examples in the training set

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## Miscellaneous Issues

- Assessing performance:
  - Training set and test set
  - Learning curve
- Overfitting
  - Tree pruning

Risk of using irrelevant observable predicates to generate an hypothesis that agrees with all examples in the training set

Terminate recursion when # errors / information gain is small

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## Miscellaneous Issues

- Assessing performance:
  - Training set and test set
  - Learning curve
- Overfitting
  - Tree pruning

Risk of using irrelevant observable predicates to generate an hypothesis that agrees with all examples in the training set

The resulting decision tree + majority rule may not classify correctly all examples in the training set

Terminate recursion when # errors / information gain is small

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## Miscellaneous Issues

- Assessing performance:
  - Training set and test set
  - Learning curve
- Overfitting
  - Tree pruning
- Incorrect examples
- Missing data
- Multi-valued and continuous attributes

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## Applications of Decision Tree

- Medical diagnostic / Drug design
- Evaluation of geological systems for assessing gas and oil basins
- Early detection of problems (e.g., jamming) during oil drilling operations
- Automatic generation of rules in expert systems

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